

## The response of neurons in the bed nucleus of the stria terminalis to serotonin: Implications for anxiety

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### ARTICLE INFO

#### Article history:

Received 1 April 2009

Received in revised form 13 May 2009

Accepted 14 May 2009

Available online 23 May 2009

#### Keywords:

Amygdala  
Fear  
Patch clamp  
Raphe  
Stress  
5-HT

### ABSTRACT

Substantial evidence has suggested that the activity of the bed nucleus of the stria terminalis (BNST) mediates many forms of anxiety-like behavior in human and non-human animals. These data have led many investigators to suggest that abnormal processing within this nucleus may underlie anxiety disorders in humans, and effective anxiety treatments may restore normal BNST functioning. Currently some of the most effective treatments for anxiety disorders are drugs that modulate serotonin (5-HT) systems, and several decades of research have suggested that the activation of 5-HT can modulate anxiety-like behavior. Despite these facts, relatively few studies have examined how activity within the BNST is modulated by 5-HT. Here we review our own investigations using *in vitro* whole-cell patch-clamp electrophysiological methods on brain sections containing the BNST to determine the response of BNST neurons to exogenous 5-HT application. Our data suggest that the response of BNST neurons to 5-HT is complex, displaying both inhibitory and excitatory components, which are mediated by 5-HT<sub>1A</sub>, 5-HT<sub>2A</sub>, 5-HT<sub>2C</sub> and 5-HT<sub>7</sub> receptors. Moreover, we have shown that the selective activation of the inhibitory response to 5-HT reduces anxiety-like behavior, and we describe data suggesting that the activation of the excitatory response to 5-HT may be anxiogenic. We propose that in the normal state, the function of 5-HT is to dampen activity within the BNST (and consequent anxiety-like behavior) during exposure to threatening stimuli; however, we suggest that changes in the balance of the function of BNST 5-HT receptor subtypes could alter the response of BNST neurons to favor excitation and produce a pathological state of increased anxiety.

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Anxiety disorders affect more than 40 million Americans annually (DuPont et al., 1996), and changes in serotonin (5-HT) functioning have been linked to both their etiology and treatment. Although there is substantial evidence that changes in 5-HT functioning can modulate fear and anxiety-like states in humans and animals alike, the literature is unclear regarding the valence of this modulation, such that treatments that deplete central 5-HT have been shown to produce both anxiolytic or anxiogenic behavioral changes (see (Handley, 1995) for review). This

**Abbreviations:** 4-AP, 4-aminopyridine; 5-CT, 5-carboxyamidotryptamine; 5-HT, serotonin; 5-HTT, serotonin transporter; 8-OH-DPAT, R(±)8-hydroxydipropylaminotetralin hydrobromide; ACSF, artificial cerebrospinal fluid; ANOVA, analysis of variance; BLA, basolateral amygdala; BNST, bed nucleus of the stria terminalis; CeA, central nucleus of the amygdala; CRF, corticotropin-releasing factor; DRN, dorsal raphe nucleus; EPSC, excitatory postsynaptic current; GABA, gamma aminobutyric acid; I<sub>A</sub>, voltage-dependent A-type potassium current; ICV, intracerebroventricular; I<sub>h</sub>, hyperpolarization-activated cation current; I<sub>K(IR)</sub>, inwardly rectifying potassium current; I<sub>NaP</sub>, persistent sodium current; I<sub>T</sub>, low-threshold T-type calcium current; mCPP, metachlorophenylpiperazine; mRNA, messenger ribonucleic acid; RT-PCR, reverse transcriptase polymerase chain reaction; SSRIs, selective serotonin reuptake inhibitors; Ucn II, urocortin II.

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paradox is highlighted by the observation that selective serotonin reuptake inhibitors (SSRIs), which currently are the most-prescribed medication for the treatment of anxiety disorders, produce therapeutic reductions in anxiety only after several weeks of treatment, and yet the acute effects of SSRI treatment often are associated with increases in anxiety-like behavior in both humans and animals alike (Burghardt et al., 2004; Grillon et al., 2007).

Substantial evidence suggests that the activity of the bed nucleus of the stria terminalis (BNST) mediates many forms of anxiety-like behavior in humans and animals (Straube et al., 2007; Walker et al., 2003; Walker and Davis, 2008), leading investigators to suggest that abnormal processing within this nucleus may underlie anxiety disorders in humans, and effective anxiety treatments may depend, in part, on restoring normal BNST functioning. As mentioned above, the most effective treatments for anxiety disorders are drugs that modulate 5-HT systems, either by blocking the reuptake of 5-HT or by modulating the activity of specific 5-HT receptor subtypes. As we will show, the BNST receives a reasonably dense innervation by serotonergic afferents (Commons et al., 2003; Phelix et al., 1992), and multiple 5-HT receptor subtypes are expressed within this region (see below). Despite these important observations,

surprisingly few studies have directly examined how neural activity within the BNST is modulated by 5-HT, or how this modulation may be affected by stress. Here, we review our ongoing behavioral, immunohistochemical, genetic, and whole-cell patch-clamp investigations into the effects of 5-HT on BNST function. Our data suggest that the response of individual BNST neurons to 5-HT is complex, and can display both inhibitory and excitatory components, and that this response itself depends on both cell type and the prior stress history of the animal. Postsynaptic 5-HT responses are mediated primarily by activation of one or more of 5-HT<sub>1A</sub>, 5-HT<sub>2A</sub>, 5-HT<sub>2C</sub>, and 5-HT<sub>7</sub> receptors. Specifically, we have shown that the activation of 5-HT<sub>1A</sub> receptors mediates an inhibitory response to 5-HT in the majority of BNST neurons, and reduces anxiety-like behavior (Levita et al., 2004). Conversely, we describe data suggesting that the activation of 5-HT<sub>2A/2C/7</sub> receptors mediates an excitatory response to 5-HT, which may be anxiogenic. Moreover, we propose that in the normal state, the net effect of 5-HT release in the BNST is to dampen neural activity via postsynaptic 5-HT<sub>1A</sub> receptor activation, which would consequently act to reduce anxiety-like behavior. However, during exposure to threatening/stressful stimuli we suggest that changes in the functional expression of 5-HT receptor subtypes in BNST neurons alters their 5-HT response in favor of excitation. This may represent the normal adaptive transient response to changes in environmental pressure. However, prolonged exposure to threatening/stressful stimuli may result in a pathological state of persistent anxiety.

We argue that the complex 5-HT response profile observed in the BNST may 1) help to explain some of the confusion regarding the role of 5-HT in modulating anxiety, and 2) may be altered by stress. Moreover, we argue that changes in the BNST response to 5-HT could represent an important mechanism underlying anxiety disorders in humans. Novel treatment strategies could therefore be designed to reverse stress-induced changes in BNST 5-HT responding and/or make it less likely that these alterations could occur.

### 1. The bed nucleus of the stria terminalis and anxiety

Studies investigating the neurobiology of fear- and anxiety-like behavior have utilized fear-learning procedures combined with brain-lesion techniques to determine the brain pathways that ascribe affective behavioral responses to neutral stimuli. Within these pathways, these studies have implicated the amygdala as a critical structure in which neutral stimuli are associated with affective behavioral states, and in particular, the amygdala has been shown to be critical for fear responding (see (Davis et al., 1993; Fanselow and LeDoux, 1999; LeDoux, 1993; Maren and Fanselow, 1996)). Importantly, the amygdala has been divided into several subnuclei, including the basolateral amygdala (BLA), which receives thalamic and cortical input from sensory regions, and the central nucleus of the amygdala (CeA), which projects to brain regions that mediate the individual behaviors associated with fear responding. Sensory information from a stimulus with an affective component (i.e. electric shock or a cue previously paired with shock) has been argued to activate the BLA, which in turn activates the CeA to produce a coordinated fear response (see (Davis et al., 1993) for review). Because many anxiety disorders seem to involve exaggerated or inappropriate fear responding, many studies have suggested that malfunction of BLA and CeA neural circuits underlie these disorders in humans. While it is likely that changes in these regions contribute to the etiology of some anxiety disorders, an increasing body of data has implicated the BNST in the mediation of certain fear and anxiety-like behaviors that are not mediated by the anatomically-related CeA pathway (Davis et al., 1997; Davis, 1998; Davis and Shi, 1999; Walker and Davis, 1997, 2008; Walker et al., 2003).

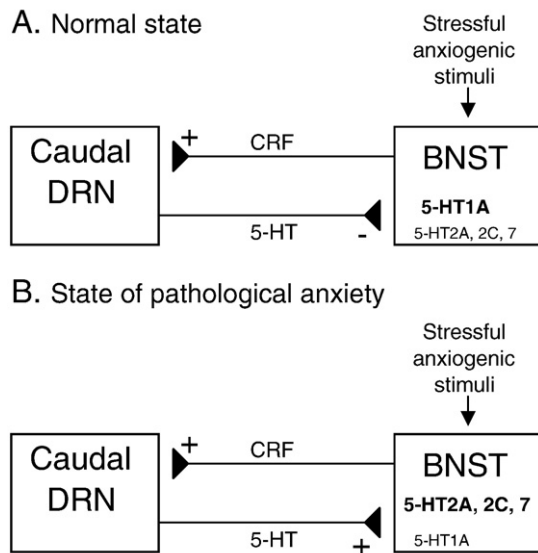
Davis et al. (1997) initially found that excitotoxic lesions of the BNST blocked the enhanced startle response (a behavioral measure of anxiety) observed after central administration of the stress hormone, corticotropin-releasing factor (CRF), whereas CeA lesions did not (Lee and Davis, 1997). Other anxiety-like behaviors that have subsequently been shown

to be mediated by the BNST (and not the CeA) include an unconditioned enhancement of the startle response by a prolonged bright light (Walker and Davis, 1997), freezing behavior induced by predator odor (Fendt et al., 2003), fear responding to long-duration conditioned stimuli previously paired with shock (Waddell et al., 2006), and the anxiogenic behavioral changes observed after uncontrollable stress (Hammack et al., 2004). Importantly, the BNST and CeA both receive substantial afferent information from the BLA, and both project to many of the same subcortical regions involved in mediating individual fear responses (see (Davis et al., 1997) for review). Based on these data, Walker and Davis (2003, 2008) suggested that the BNST mediates a sluggish fear response system that controls behavioral responding to diffuse long-duration stimuli and continues to influence behavior long after the stimulus has terminated, while the CeA mediates a rapid response system to specific threat that terminates when the threat is removed ((Walker et al., 2003; Walker and Davis, 2008); Also see Walker, Miles and Davis in this volume). Davis and colleagues initially likened the former response system to “anxiety” and have suggested that maladaptive responding of the BNST may underlie some forms of anxiety disorders in humans. Consistent with this argument, Waddell et al. (2006) found that BNST lesions blocked fear responding to a 10-min tone that was previously paired with shock, but not a 1-min tone previously paired with shock. This group argued that an anxiety state was conditioned to the 10-min tone that was dissociable from the fear state conditioned to the shorter tone (Waddell et al., 2006). Importantly, the BNST has also been linked to fear behavior in nonhuman primates, where BNST activity was positively correlated with individual differences in rhesus monkey fear responding (Kalin et al., 2005). More recently, activity within the BNST has been associated with the anticipatory anxiety experienced prior to the presentation of a phobic stimulus in humans (Straube et al., 2007).

Consistent with a role for the BNST in anxiety-like responding, electrical stimulation of the anterolateral region produces many of the endocrine, cardiovascular and respiratory responses that are normally elicited by anxiogenic stimuli (Casada and Dafny, 1991). Moreover, anxiogenic pharmacological agents increase the expression of transcription factors, such as the immediate early gene, *c-fos*, in the anterolateral BNST (Singewald et al., 2003). Hence, activation of BNST neurons is thought to be associated with the expression of anxiety-like behavior. Significantly, the anterolateral BNST and CeA, are two extrahypothalamic regions that display high levels of CRF-immunoreactivity (Sawchenko and Swanson, 1985; Swanson et al., 1983) and CRF mRNA (Makino et al., 1994). The dense expression of CRF in cell bodies and fibers in these brain regions suggest that CRF plays an important role in the modulation of neural activity within the BNST, CeA and their projection regions. Extrahypothalamic CRF has been implicated heavily in mediating many of the behavioral responses to stressful stimuli, including increases in anxiety-like behavior (see (Davis et al., 1997; Koob et al., 1993; Koob and Heinrichs, 1999; Schulkin et al., 1998)), and CRF<sub>1</sub> receptor knockout mice exhibit an anxiolytic behavioral profile (Smith et al., 1998). Significantly, local infusion of CRF receptor antagonists into the BNST acts to reduce the anxiogenic response elicited by intracerebroventricular (ICV) CRF injection (Lee and Davis, 1997). Together, these results suggest that release of CRF and/or activation of CRF receptors in the BNST mediate anxiety-like behavior, and further suggest that the anterolateral BNST may be a particularly important subregion for mediating anxiety-like behavioral states.

### 2. 5-HT and anxiety

A substantial body of literature has implicated 5-HT systems in the modulation of fear and anxiety behaviors (see (Graeff et al., 1996; Handley et al., 1993; Handley, 1995; Lowry et al., 2005, 2008)). However, as mentioned above, the nature of the relationship between 5-HT receptor activation and anxiety-like behavior is still unclear. Hence, 5-HT receptor activation can be anxiogenic or anxiolytic depending on the dosage of the 5-HT agonist used, the brain region targeted, and the method of testing



**Fig. 1.** A proposed negative feedback function of 5-HT projections to the BNST, and its potential breakdown during states of pathological anxiety. A) We suggest that acute anxiogenic stimuli activate neurons in the anterolateral BNST, thereby increasing levels of CRF within the caudal DRN. The release of CRF within the caudal DRN excites a subpopulation of 5-HT neurons projecting to the BNST, and in turn increases levels of 5-HT within the BNST. 5-HT release in the BNST preferentially acts on 5-HT<sub>1A</sub> receptors to reduce BNST activity. B) In a state of pathological anxiety, we suggest that exposure to stress may downregulate 5-HT<sub>1A</sub> receptor function and/or upregulate 5-HT<sub>2A</sub>, 5-HT<sub>2C</sub>, and/or 5-HT<sub>7</sub> function, thereby shifting the balance of these receptors towards excitation. Hence, the normal negative-feedback role of BNST 5-HT release is compromised, increasing anxiety.

anxiety-like behavior (see reviews listed above). Furthermore, some of the complexity of the behavioral response to 5-HT also can be attributed to the presence of multiple 5-HT receptor subtypes in the CNS (Hoyer et al., 2002; Uphouse, 1997). These receptors have been classified into seven distinct families (5-HT<sub>1-7</sub>) that mediate both the excitatory and the inhibitory actions of 5-HT. More than one 5-HT receptor subtype may mediate the actions of 5-HT in a single neuron (Araneda and Andrade, 1991; Davies et al., 1987), which by default would allow an even more complex response pattern to local 5-HT release than a single receptor system would allow for (for review, see (Uphouse, 1997)).

Similarly, the complex relationship between the activation of 5-HT systems and anxiety-like behavior could be explained, in part, by a single receptor subtype initiating diverse behavioral responses depending on the anxiety-associated brain region in which it is expressed. Consistent with this hypothesis, 5-HT<sub>2</sub> receptor activation has been shown to be anxiogenic in the elevated plus maze (Handley et al., 1993; Kshama et al., 1990), hole-board test (Kshama et al., 1990), social interaction test and self-grooming (Bagdy et al., 2001), and acoustic startle (Davis, 1987). However, in a few instances activation of 5-HT<sub>2</sub> receptors has been shown to be anxiolytic (Nic Dhonnchadha et al., 2003); these inconsistencies may be explained by different sites of 5-HT<sub>2</sub> receptor activation. Importantly, 5-HT<sub>1A</sub> receptor activation has been shown to be anxiolytic in many of the same tests (Cheeta et al., 2001; Levita et al., 2004; Sakaue et al., 2003).

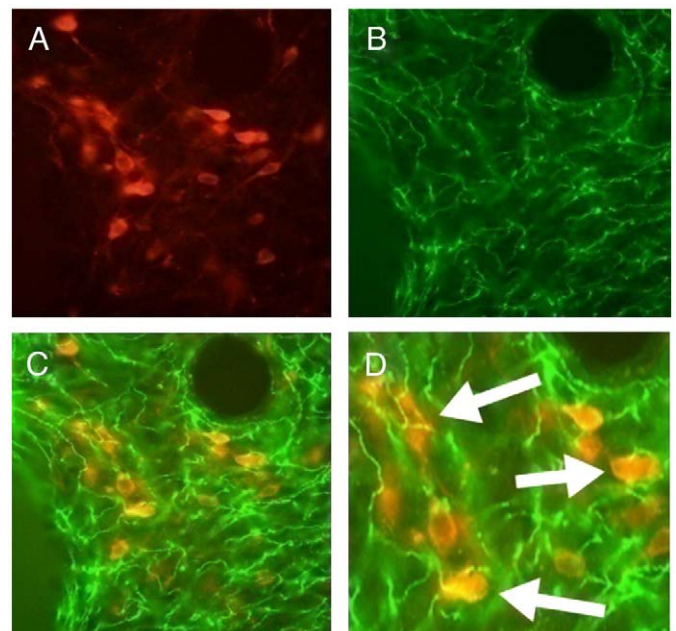
### 3. The dorsal raphe nucleus (DRN)

Many of the regions that mediate fear- and anxiety-like behavior, such as the BNST and CeA receive much of their 5-HT input from the caudal part of the dorsal raphe nucleus (DRN, (Commons et al., 2003; Phelix et al., 1992)). Indeed, Lowry et al. (2005) have argued that 5-HT neurons in the caudal region of the DRN form a specific, topographically organized mesolimbocortical 5-HT system that regulates anxiety-related behavior (Lowry et al., 2005). Consistent with this hypothesis,

several discrete anxiogenic stimuli with non-overlapping modes of action converge at the level of the caudal DRN to increase c-fos expression in 5-HT neurons, including uncontrollable shock, high doses of caffeine, metachlorophenylpiperazine (mCPP), urocortin II (ucn II), and benzodiazepine inverse agonists (Abrams et al., 2005; Grahn et al., 1999; Singewald and Sharp, 2000; Staub et al., 2005). Significantly, the caudal DRN receives afferents originating from the BNST and CeA (Peyron et al., 1998) that contain CRF (see Gray, 1993). While the regulation of DRN 5-HT neurons by CRF is complex and involves multiple CRF receptor subtypes expressed by both 5-HT and GABAergic neurons (Day et al., 2004; Hammack et al., 2003a; Kirby et al., 2008; Maier and Watkins, 2005) the caudal DRN is the DRN subregion in which putative serotonergic neurons are excited by CRF application (Kirby et al., 2008; Lowry et al., 2000), suggesting that forebrain CRF neurons may actively regulate 5-HT release in the corticolimbic 5-HT pathway.

Thus, acute anxiogenic stimuli likely activate neurons in the anterolateral BNST, thereby increasing levels of CRF within the caudal DRN. Release of CRF in the caudal DRN could subsequently activate a discrete subpopulation of 5-HT neurons that in turn, lead to increased 5-HT levels in the anterolateral BNST. Based on the data we describe below, we propose that in response to an acute stress increased 5-HT release within the anterolateral BNST would likely reduce anxiety-like behavior. In this way, activation of the caudal DRN 5-HT by the BNST forms part of a negative-feedback loop by which anxiety levels are modulated in the presence of an anxiogenic stimulus (see Fig. 1A).

However, serotonin receptor levels are themselves under tight control by stress hormones (Harvey et al., 2003; Holmes et al., 1995; Young et al., 1992); hence, as we show below, the net response of the BNST to 5-HT release is critically dependent on the prior stress history of the animal. By extrapolation we further propose that this adaptive feedback loop could break down when the anxiogenic threat or stressor is overwhelming, or chronic.



**Fig. 2.** Photomicrograph showing 5-HT transporter (5-HTT) immunoreactive fibers targeting the anterolateral BNST in close association with the soma and proximal dendrites of CRF immunoreactive neurons. A) Immunohistochemical visualization of CRF-containing neurons (red) within the anterolateral BNST (Rabbit anti-CRF; 1:250 dilution; Abcam). B) Immunohistochemical visualization of 5-HTT immunoreactive fibers (green) in the anterolateral BNST (Mouse anti-5HTT; 1:500 dilution; Chemicon-Millipore). C) An overlay of A and B shows that 5-HTT positive fibers appear to contact CRF-containing neurons in the anterolateral BNST (20 $\times$ ). D) At higher magnification (40 $\times$ ) 5-HTT terminals are seen to contact the soma of CRF-positive neurons (arrows).

#### 4. 5-HT and the BNST

While mounting evidence has implicated both 5-HT systems and the BNST in mediating anxiety-like states, very few studies have directly investigated how the BNST is modulated by 5-HT. In agreement with previous reports (Commons et al., 2003; Phelix et al., 1992), our immunohistochemical studies have shown that 5-HT transporter-immunoreactive fibers target the anterolateral BNST and that 5-HT terminals can be observed surrounding the soma of CRF-containing BNST neurons as well as non-CRF neurons (Fig. 2). The anterolateral BNST also receives the highest concentration of 5-HT input in nonhuman primates (Freedman and Shi, 2001), suggesting that 5-HT innervation of the BNST is conserved across species. Hence, release of 5-HT within the anterolateral BNST likely modulates anxiety-like behavior by modulating the activity of multiple neuronal subpopulations, including the CRF-containing subpopulation. For this reason, we have focused our studies on examining the effects of 5-HT modulation of neuronal activity in the anterolateral BNST.

##### 4.1. 5-HT receptor subtype expression

Although previous studies have reported a diverse array of 5-HT receptor expression in the BNST (Cornea-Hebert et al., 1999; Heidmann et al., 1998; Kia et al., 1996; Mengod et al., 1990; Sari et al., 1998; To et al., 1995; Waeber et al., 1994; Waeber and Moskowitz, 1995; Wright et al., 1995), these studies were not designed to examine 5-HT receptor subtypes expression in specific subregions of the BNST, or in single neurons from these subregions. The BNST is a complex structure (Dong et al., 2001) and most probably shows regional variations in 5-HT receptor expression. Hence, we used a micro-dissection technique to isolate the anterolateral BNST, extract whole tissue RNA, and then probe the tissue sample for 5-HT receptor subtype mRNA transcripts using a reverse transcriptase polymerase chain reaction (RT-PCR). As shown in Fig. 3, multiple 5-HT receptor subtype mRNAs were expressed in the anterolateral BNST, including the transcripts for 5-HT<sub>1A</sub>, 5-HT<sub>1B</sub>, 5-HT<sub>1D</sub>, 5-HT<sub>1F</sub>, 5-HT<sub>2A</sub>, 5-HT<sub>2C</sub>, 5-HT<sub>3</sub>, 5-HT<sub>4</sub>, 5-HT<sub>5A</sub>, 5-HT<sub>6</sub> and 5-HT<sub>7</sub> receptors. However, this approach could not distinguish between 5-HT receptor expressions in neuronal versus non-neuronal compartments. Consequently, we next combined our whole-cell patch-clamp recording approach with the RT-PCR technique to analyze mRNA transcript expression in the cytoplasm of physiologically identified BNST neurons. Consistent with the whole tissue mRNA expression, the mRNA transcript for multiple 5-HT receptor receptors was expressed in individual neurons of the anterolateral BNST. Hence, permutations and combinations of the mRNA signal for the following receptors were observed in individual neurons: 5-HT<sub>1A</sub>, 5-HT<sub>1B</sub>, 5-HT<sub>2A</sub>, 5-HT<sub>2C</sub>, 5-HT<sub>3</sub>, 5-HT<sub>4</sub>, 5-HT<sub>6</sub>, and 5-HT<sub>7</sub>, with the most frequently observed subtypes being 5-HT<sub>1A</sub>, 5-HT<sub>2A</sub>, and 5-HT<sub>7</sub> (R. Hazra and D.G. Rainnie, unpublished observations). The mRNA expression implies that anterolateral BNST neurons potentially express these 5-HT receptor proteins. The cellular localization of some receptor subtypes are likely somatodendritic, while others, such as the 5-HT<sub>1B</sub> receptor, may be shuttled downstream to presynaptic axon terminals. The 5-HT receptor subtype expression patterns were con-

sistent with our pharmacological data reported below, and also tended to group according to physiological cell types (see below).

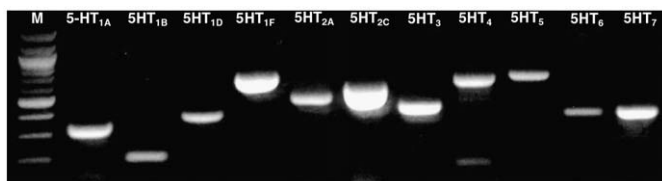
#### 5. Response of anterolateral bnst neurons to 5-HT receptor activation

##### 5.1. Direct postsynaptic response to 5-HT receptor activation

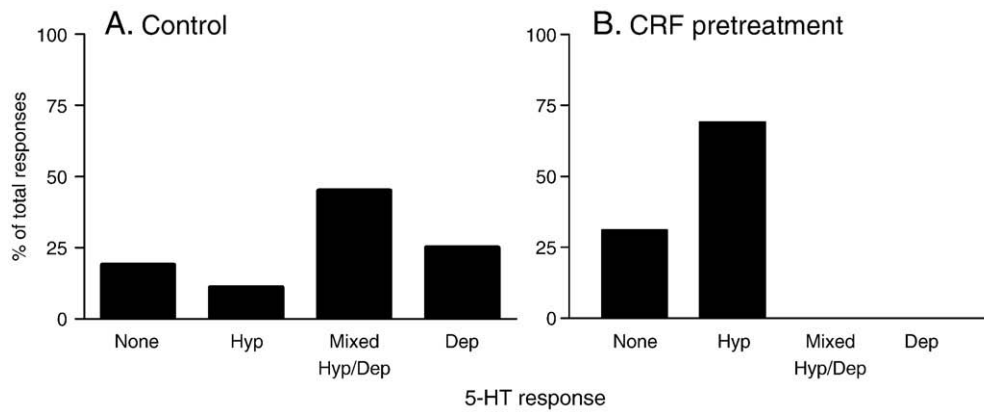
Consistent with our molecular biological data, the response of BNST neurons to exogenous 5-HT application is complex. In our initial *in vitro* whole-cell patch-clamp study of 38 BNST neurons, we reported that neurons of the anterolateral BNST exhibited a range of responses to exogenous 5-HT application, including an inhibitory membrane hyperpolarization, an excitatory membrane depolarization, or a biphasic response of hyperpolarization followed by depolarization. In addition, a subpopulation of neurons was unaffected by exogenous 5-HT application (Rainnie, 1999). However, in those neurons that did respond to 5-HT, the response was always accompanied by a decrease in membrane input resistance (range: 23–40%), suggesting that both the inhibitory- and the excitatory response were mediated by the opening of ion channels. We subsequently confirmed these results in a much larger sample ( $n = 175$ ; (Levita et al., 2004)). Here, we demonstrated that the hyperpolarizing response was the predominant membrane response to 5-HT, occurring in ~35% of BNST neurons, with an EC<sub>50</sub> of ~6  $\mu\text{M}$  and which was associated with an outward current (~14 pA) that had an apparent reversal potential ( $E_{5\text{-HT}} = -77 \text{ mV}$ ).

The second most frequently observed response was the mixed response (hyperpolarization followed by depolarization), which occurred in 25% of anterolateral BNST neurons. In these neurons, the hyperpolarizing (inhibitory) response was typically more pronounced than the depolarizing response. Hence, in controlled conditions the net response of the majority of BNST neurons (~60%) to local 5-HT release was inhibition. Significantly, a closer examination of the 5-HT reversal potential in BNST neurons that responded with “pure” membrane hyperpolarization revealed two subpopulations; one that had an  $E_{5\text{-HT}} = -85 \text{ mV}$ , which was near the reversal potential expected for the opening of a potassium channel, and one characterized by a more depolarized reversal potential ( $E_{5\text{-HT}} = -71 \text{ mV}$ ) that suggested the activation of mixed ionic currents. Moreover, this reversal potential was similar to that observed in BNST neurons showing a biphasic 5-HT response, and suggested that a depolarizing response was masked in some of the neurons that appeared to have a “pure” inhibitory response. Subsequent reanalysis of our data revealed that 49% of BNST neurons display the mixed responses to 5-HT, which represent the majority of BNST neurons that respond to 5-HT (Fig. 4A). The significance of this observation should not be overlooked. The presence of two opposing responses to a single neurotransmitter in the same neuron suggests that the response to 5-HT in the majority of BNST neurons is dynamic and that the net action of 5-HT on the output of the anterolateral BNST is critically dependent on factors that regulate the relative expression of the inhibitory versus the excitatory response to 5-HT in these neurons. As noted above, in naive animals the net response of most BNST neurons to 5-HT is inhibition and hence local 5-HT release would tend to reduce anxiety-like behavior. However, as outlined below chronic activation of stress hormones can significantly alter this response.

Consistent with the more negative  $E_{5\text{-HT}}$  (–85 mV) in a subpopulation of BNST neurons, we demonstrated that the “pure” inhibitory response of BNST neurons to 5-HT was mediated by activation of a G-protein coupled inwardly rectifying potassium current (Levita et al., 2004). Similar properties have been reported elsewhere in the brain following activation of 5-HT<sub>1A</sub> receptors (Sprouse and Aghajanian, 1988), and as predicted the inhibitory response to 5-HT was fully blocked by prior application of the selective 5-HT<sub>1A</sub> receptor antagonist, WAY 100635 (200 nM). Furthermore, the mixed 5-HT<sub>1/7</sub> receptor agonist, 5-carboxyamidotryptamine (5-CT), mainly mimicked the inhibitory response to 5-HT, and this response was also blocked by WAY 100635. Interestingly, the selective 5-HT<sub>1A</sub> receptor agonist R(±)8-



**Fig. 3.** Whole tissue expression of 5-HT receptor mRNA transcripts in the anterolateral BNST. Total RNA was isolated from the anterolateral BNST and reverse transcribed into cDNA. The cDNA was amplified by PCR with primers specific for each of the 5-HT receptors. The PCR products were electrophoresed on a 1% agarose gel. M denotes a molecular weight marker.



**Fig. 4.** CRF pretreatment increased the percentage of inhibitory responses to 5-HT application in anterolateral BNST neurons. Using whole-cell patch clamp electrophysiological techniques on anterolateral BNST neurons *in vitro*, the changes in membrane potential or steady state current to 50  $\mu\text{M}$  5-HT were determined. A) The percentages of 5-HT responses in neurons obtained from untreated control slices. Neurons responded to 5-HT with one of four responses, pure hyperpolarization (Hyp), pure depolarization (Dep), a mixed response where a hyperpolarization was immediately followed by depolarization (Mixed Hyp/Dep) or no response (None). B) The percentages of 5-HT responses in neurons obtained from slices that were bathed in CRF (1  $\mu\text{M}$ ) for 30 min before the establishment of whole cell patch clamp ( $n = 13$ ). Pretreatment with CRF abolished excitatory responding to 5-HT ( $\chi^2 = 9.758$ ,  $p < 0.05$ ).

hydroxydipropylaminotetralin hydrobromide (8-OH-DPAT) failed to mimic the inhibitory response to 5-HT in any BNST neuron tested. Although the lack of effect of 8-OH-DPAT is confounding, it may be consistent with previous reports suggesting that 8-OH-DPAT may have only partial agonist properties at postsynaptic 5-HT<sub>1A</sub> receptors (Van den Hooff and Galvan, 1992; Williams et al., 1988).

In the course of these experiments it was noted that 5-CT occasionally elicited a membrane depolarization similar to that observed in a subset of BNST neurons in response to 5-HT. As noted above, our single cell RT-PCR studies had indicated that 5-HT<sub>7</sub> receptors are expressed at comparatively high levels in anterolateral BNST neurons. Activation of 5-HT<sub>7</sub> receptors has been reported to excite neurons in the hippocampus (Tokarski et al., 2003), anterodorsal thalamus (Chapin and Andrade, 2001), and prefrontal cortex (Beique et al., 2004), and this gave us our first indication of a potential substrate for the 5-HT-induced depolarization observed in BNST neurons.

However, our single cell RT-PCR experiments also indicated a high level of expression of 5-HT<sub>2A/C</sub> receptors in anterolateral BNST neurons, and these receptors have also been reported to mediate excitatory postsynaptic responses in several brain regions (Bonsi et al., 2007; Marek and Aghajanian, 1994; Sheldon and Aghajanian, 1991). As may be expected, our investigation of the receptor substrate/s mediating the depolarizing response to 5-HT in BNST neurons has revealed a more complex profile for excitation than we find for inhibition.

For example, we first tested the effects of prior application of the broad spectrum 5-HT<sub>2</sub> receptor antagonist pirenperone (10  $\mu\text{M}$ ). Surprisingly, pirenperone fully blocked the depolarizing component of the mixed 5-HT response in every neuron tested suggesting that activation of 5-HT<sub>2</sub> receptors mediated the excitatory component of the 5-HT response. However, at the concentration used for these studies, pirenperone can also act as an antagonist at 5-HT<sub>7</sub> receptors and, hence, the identity of the receptor subtype mediating the excitatory response was inconclusive. Consequently, we next examined the response of BNST neurons to prior application of more selective 5-HT receptor subtype antagonists.

Here too, however, the response to prior application of selective antagonists was mixed. For example, in a subpopulation (25%) of neurons showing a biphasic response to 5-HT, application of the 5-HT<sub>2A</sub> receptor antagonist MDL 100907 (10  $\mu\text{M}$ ) fully blocked the depolarizing component of the response. However, in another population of neurons (50%) that also showed a biphasic response to 5-HT, the depolarizing component was fully blocked by prior application of the selective 5-HT<sub>7</sub> receptor antagonist SB 269970 (1  $\mu\text{M}$ ). In yet another population of neurons, the depolarizing component appeared to be mediated by the activation of both 5-HT<sub>2A</sub> and 5-HT<sub>7</sub> receptors, as prior application of

both antagonists was required to fully block the depolarizing response to 5-HT. Nevertheless, the physiological data are in agreement with our single cell RT-PCR data and suggest that the most common physiological phenotype, the biphasic response to 5-HT, is mediated by combinations of 5-HT<sub>1A</sub> receptors together with either 5-HT<sub>2A</sub> receptors, 5-HT<sub>7</sub> receptors, or both.

Finally, a subpopulation of neurons was identified that responded to 5-HT with a pure depolarization, and this response was fully blocked by prior application of the selective 5-HT<sub>2C</sub> receptor antagonist, RS 102221 (10  $\mu\text{M}$ ).

## 5.2. Presynaptic action of 5-HT in the BNST

In addition to a direct postsynaptic action in the BNST, local release of 5-HT also activates presynaptic 5-HT receptors. Hence, stimulation of the primary afferent input into the BNST, the stria terminalis, elicits a monosynaptic excitatory postsynaptic current (EPSC) in neurons of the anterolateral BNST (Egli and Winder, 2003; Rainnie, 1999). Exogenous application of 5-HT (50  $\mu\text{M}$ ) consistently reduced the amplitude of stimulus-evoked EPSCs by 36% (Guo and Rainnie, submitted for publication). Significantly, the effects of 5-HT could be mimicked by the 5-HT<sub>1B/D</sub> receptor selective agonist, sumatriptan (10  $\mu\text{M}$ ), as well as the 5-HT<sub>1B</sub> receptor selective agonist CP93129 (10  $\mu\text{M}$ ), suggesting that 5-HT<sub>1B</sub> receptor activation may modulate glutamate transmission in the BNST. Consistent with this hypothesis, the 5-HT or sumatriptan effect could be blocked by the 5-HT<sub>1B</sub> selective antagonist GR55562 (10  $\mu\text{M}$ ).

We then tested whether the 5-HT effect was mediated by a presynaptic or postsynaptic mechanism using a paired pulse stimulation paradigm in which two stimuli are separated by an interval of ~50 ms. In this paradigm, the amplitude of the second EPSC is thought to be governed by alterations in the relative probability of presynaptic release (Hess et al., 1987; Manabe et al., 1993). Exogenous application of 5-HT (50  $\mu\text{M}$ ) or sumatriptan (10  $\mu\text{M}$ ) caused a significant increase in the paired pulse ratio, which was associated with a decrease in the amplitude of both EPSCs. Furthermore, sumatriptan application decreased the frequency of miniature EPSCs, but had no effect on the amplitude of miniature EPSCs. Taken together, these results suggest a presynaptic site of action of 5-HT<sub>1B</sub> receptor activation in the inhibitory modulation of glutamate release in the anterolateral BNST. These data are consistent with the presynaptic 5-HT<sub>1B</sub> receptor modulation of neurotransmitter release reported in other brain regions (Bouryi and Lewis, 2003; Laurent et al., 2002).

In summary, these electrophysiological studies suggest that the inhibition of BNST neurons by 5-HT is always mediated by activation of 5-HT<sub>1A</sub> receptors, and that the excitation of BNST neurons by 5-HT

is mediated by activation of 5-HT<sub>2A</sub>, 5-HT<sub>2C</sub> and/or 5-HT<sub>7</sub> receptors, depending on the cell type. If an increase in neural activity in the BNST is correlated with an increase in anxiety-like behavior (see Walker et al., 2003; Walker and Davis, 2008, for review), then the selective activation of 5-HT<sub>1A</sub> receptors would be expected to be anxiolytic, whereas the selective activation of 5-HT<sub>2A</sub>, 5-HT<sub>2C</sub> and/or 5-HT<sub>7</sub> receptors might be expected to be anxiogenic.

## 6. Behavioral response to 5-HT receptor activation

As noted above, bath application of 5-CT produced a response profile that favors inhibition. We used the relative selectivity of the 5-CT response to examine the *in vivo* effects of 5HT<sub>1A</sub> receptor activation in the BNST. Here, we used baseline startle behavior as an index of the basal level of anxiety in rats. As predicted bilateral injection of 5-CT directly into the BNST immediately prior to testing produced a pronounced anxiolytic response (Levita et al., 2004). These data are consistent with the hypothesis that 5-CT predominantly inhibits BNST neurons, presumably by activating BNST 5-HT<sub>1A</sub> receptors. However, as outlined above, 5-CT also activates 5-HT<sub>7</sub> receptors on a subset of BNST neurons. If these neurons function as local circuit inhibitory interneurons then 5-HT<sub>7</sub> receptor-evoked excitation may also functionally reduce the output of the BNST. Hence, we injected the selective 5-HT<sub>1A</sub> antagonist, WAY 100635 into the BNST and observed a dose-dependent increase in anxiety-like behavior as measured by social interaction ( $F(4,24) = 3.027$ ,  $p < 0.05$ ; K.M. Rhodes and S.E. Hammack, unpublished data). These data confirm that BNST 5-HT<sub>1A</sub> receptor activation is anxiolytic.

We have yet to find any agonists that can selectively mimic the 5-HT<sub>2A</sub> or 5-HT<sub>2C</sub>, or 5-HT<sub>7</sub> receptor-mediated depolarization in BNST neurons. However, one 5-HT agonist *meta*-chlorophenylpiperazine (mCPP) has been shown to produce anxiety-like behavioral changes in humans and animals (Broocks et al., 1999; Cornelio and Nunes-de-Souza, 2007; Klaassen et al., 2002). mCPP has a higher affinity for 5-HT<sub>2C</sub> receptors and 5-HT<sub>2A</sub> receptors than 5-HT<sub>1A</sub> receptors (Porter et al., 1999), and hence may be more likely to produce a response profile that favors excitation. Significantly, systemic mCPP has been shown to increase the expression of *c-fos* in the anterolateral BNST (Singewald et al., 2003), and mCPP injection within the BNST of mice increases baseline startle responding (W.A. Falls, personal communication).

Together these data suggest that local 5-HT release 1) inhibits BNST neurons by activating 5-HT<sub>1A</sub> receptors and the selective activation of BNST 5-HT<sub>1A</sub> receptors is anxiolytic, and 2) excites BNST neurons by activating 5-HT<sub>2A</sub>, 5-HT<sub>2C</sub>, and/or 5-HT<sub>7</sub> receptors, and the activation of one or more of these receptors is anxiogenic. Interestingly, while 5-HT<sub>2A</sub>, 5-HT<sub>2C</sub> and 5-HT<sub>7</sub> receptors excite anterolateral BNST neurons, it is unknown whether these receptor subtypes are differentially expressed by projection neurons and/or local-circuit interneurons; hence, their activation could increase or decrease anxiety-like behavior depending on their relative expression by these different cell types. The anxiogenic action of mCPP suggests that at least one of these receptor subtypes is expressed by BNST projection neurons. Given that the majority of BNST neurons express multiple 5-HT receptors that have opposing physiologic actions in the same cell, we proposed that any treatment that could modulate the relative efficacy of one receptor subtype in relation to another would profoundly impact the response of the BNST to local 5-HT release.

## 7. Modulation of the 5-HT response in BNST neurons

### 7.1. Modulation of BNST 5-HT responses by acute CRF treatment and isolation

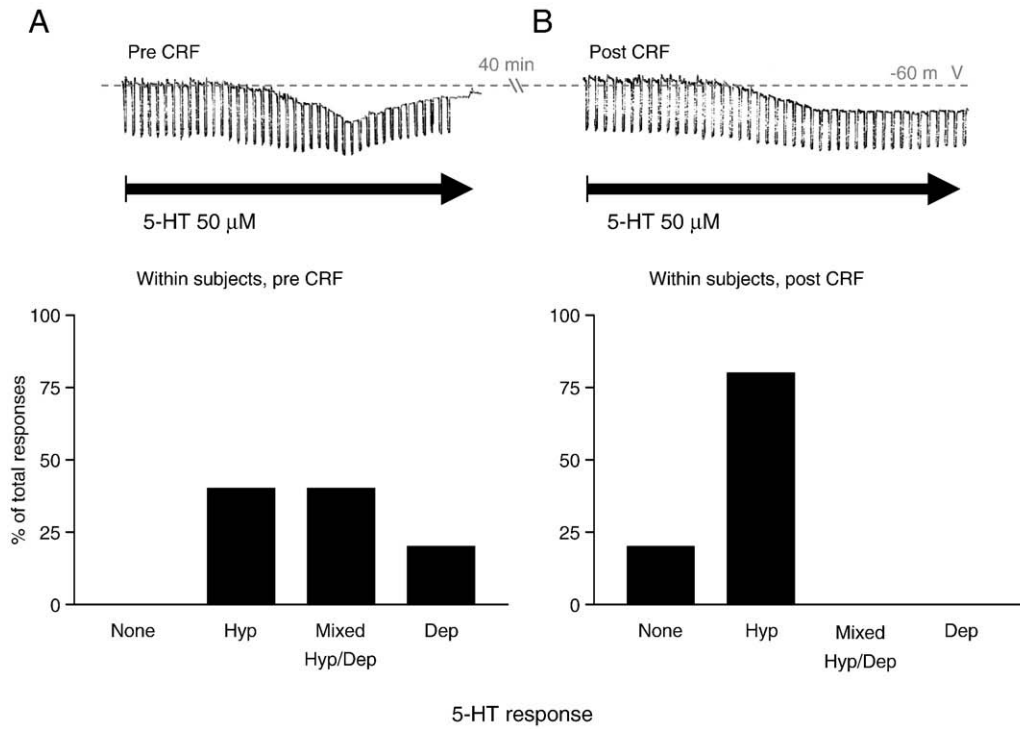
As discussed above, a decrease in 5-HT<sub>1A</sub> receptor function would be expected to change the 5-HT response profile of BNST neurons to favor excitation and increased anxiety, whereas a decrease in the function of 5-HT<sub>2A</sub>, 5-HT<sub>2C</sub> and/or 5-HT<sub>7</sub> receptors would be expected to change the 5-HT response profile to favor increased inhibition and reduced anxiety.

Several treatments have been shown to alter the functional expression of 5-HT receptor subtypes in other brain regions, including chronic stress (Ferretti et al., 1995; Lanfumey et al., 1999; Lopez et al., 1998, 1999; McKittrick et al., 1995; Ossowska et al., 2001; Takao et al., 1997), treatment with stress hormones (Crayton et al., 1996; Fernandes et al., 1997; Ferretti et al., 1995; Katagiri et al., 2001; Kuroda et al., 1992; Maines et al., 1999; Takao et al., 1997), antidepressant treatment (Elena Castro et al., 2003; Gurevich et al., 1993; Hanson et al., 1998; Lund et al., 1992; Riad et al., 2004; Strome et al., 2005), and voluntary exercise (Greenwood et al., 2005).

Consistent with these observations, results from our own studies suggest that the electrophysiological response of BNST neurons to 5-HT can be altered either by prior treatment of BNST slices with CRF, or by one week of isolation housing, which is a mild stressor in rats. In our *in vitro* experiments, slices containing the anterolateral BNST were perfused with a bolus application of 1  $\mu$ M CRF in 10 ml artificial cerebrospinal fluid (ACSF) approximately 30 min prior to the establishment of whole-cell patch clamp recording from BNST neurons. Each neuron was then probed to determine their response to exogenous application of 5-HT (50  $\mu$ M). Intriguingly, after bolus CRF application, the 5-HT response pattern of BNST neurons shifted from the heterogeneous response pattern described above to one of predominantly pure inhibition ( $\chi^2 = 9.758$ ,  $p < 0.05$ , see Fig. 4B), such that no excitatory response to 5-HT was ever observed after CRF application. We next determined whether CRF could abolish the excitatory response in BNST neurons using a within-subjects design. Here, whole-cell patch clamp was established, and the initial response to 50  $\mu$ M 5-HT was determined. The slice was then perfused with a bolus application of 200 nM CRF in 10 ml ACSF and the response to a second 5-HT application was determined after a 20–40 min wash-off period. Consistent with the first experiment, application of CRF attenuated the depolarizing response in neurons that had previously responded to 5-HT with pure depolarization or with a biphasic response (see Fig. 5). Previous studies had confirmed that the excitatory 5-HT response was reproducible and, hence, these data suggested that acute CRF receptor activation can trigger a cascade of events in BNST neurons that ultimately results in the apparent abolition of the excitatory response. Moreover, these data further suggest that the 5-HT response can be altered for a period of at least 45 min after CRF receptor activation. As noted above, CRF expression is dense within this region of the BNST (Chappell et al., 1986; Gray and Magnuson, 1992; Wong et al., 1994), and CRF receptor activation in this region is both necessary and sufficient for the expression of anxiety-like behavior (Lee and Davis, 1997; Sahuque et al., 2006).

Hence, in response to a physical or psychological threat, CRF release is expected to transiently increase in the BNST, and this release would coordinate an anxiety-like response to this threat. Several reports have suggested that the CRF infusion into the BNST is anxiogenic (Erb and Stewart, 1999; Lee and Davis, 1997; Sahuque et al., 2006), and that BNST CRF blockade reduces anxiety-like behavior (Erb and Stewart, 1999; Jasnow et al., 2004; Lee and Davis, 1997; Sahuque et al., 2006). However, the data we presented above suggest that BNST CRF release would also induce a delayed shift in the valence of the 5-HT release to favor inhibition of BNST neurons, and hence BNST 5-HT release in response to threat may mediate a delayed inhibitory response that normally functions to prevent BNST overstimulation by CRF. We argue below that the initial increase in BNST CRF activity would trigger the activation of the caudal DRN, which in turn would result in a delayed release of 5-HT into the BNST and inhibit further BNST activity, forming an inhibitory feedback loop designed to maintain homeostasis.

In order to determine whether a behavioral manipulation associated with enhanced anxiety-like behavior might also shift the population of BNST 5-HT responses, we housed rats singly or in groups (2–4 per cage) for one week prior to experimentation. Because rats are social organisms that live in hierarchical communities, long- and short-term isolation housing has been shown to produce a behavioral state associated with increased anxiety (Haller and Halasz, 1999). After one week, rats were sacrificed and their brains prepared for



**Fig. 5.** Acute CRF treatment (200 nM) potentiates the inhibitory response of individual anterolateral BNST neurons to 5-HT application. A) Upon the establishment of whole cell patch clamp, an initial response to 5-HT was determined. Upper: A representative mixed Hyp/Dep response in current-clamp mode that contained both an inhibitory and excitatory component. Lower: The percentages of 5-HT responses obtained in anterolateral BNST neurons prior to CRF treatment ( $n = 10$ ). B) 5-HT responses 40 min after CRF treatment determined by 5-HT reapplication. Upper: The same representative neuron shown in A responded to 5-HT with a pure Hyp response 40 min after CRF treatment. The depolarizing component of the initial 5-HT response was abolished by CRF treatment. Lower: The percentages of 5-HT responses in BNST neurons 40 min after CRF treatment. CRF treatment abolished the depolarization response in all neurons.

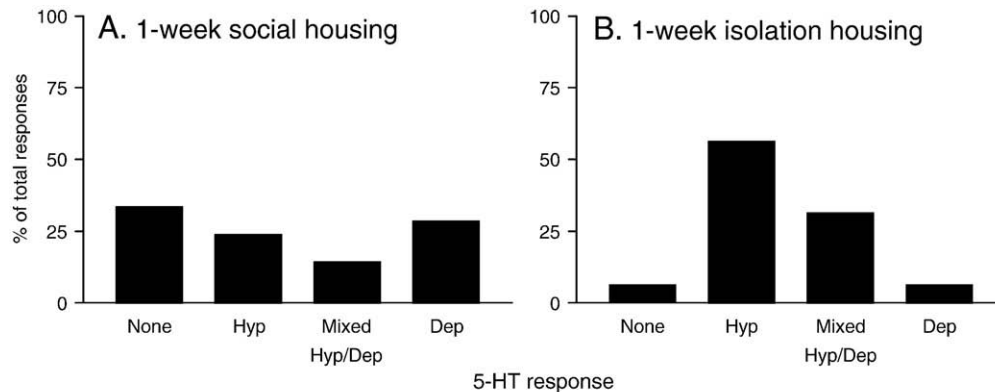
electrophysiological recording. Again whole-cell patch clamp was established in anterolateral BNST neurons, and their response to 50 mM 5-HT was determined. Although isolation housing did not abolish the depolarizing response to 5-HT as effectively as acute CRF application, a chi-square analysis revealed that isolation housing significantly shifted the response profile of BNST 5-HT responses to favor inhibition, consistent with the effects of bolus CRF application ( $\chi^2 = 9.207, p < 0.05$ , see Fig. 6).

Based on these data, we propose that in the non-pathological state, 5-HT may serve as a feedback inhibitor of BNST function during stressor exposure, so that stressors activate neurons within the anterolateral BNST, which project to and activate the caudal DRN.

Caudal DRN projections, in turn, project back to the anterolateral BNST, where the release of 5-HT after recent CRF receptor activation more efficiently dampens neuronal activity in the anterolateral BNST via actions at postsynaptic 5-HT<sub>1A</sub> receptors (see Fig. 1A).

7.2. Prolonged/chronic stress

Compared to most studies that observe pathological behavioral changes after at least 4+ weeks of isolation (Serra et al., 2008), one week of isolation housing is likely a relatively mild stressor, and the physiological responses induced by one week of isolation may not differ substantially from those induced by an acute stressor. Although



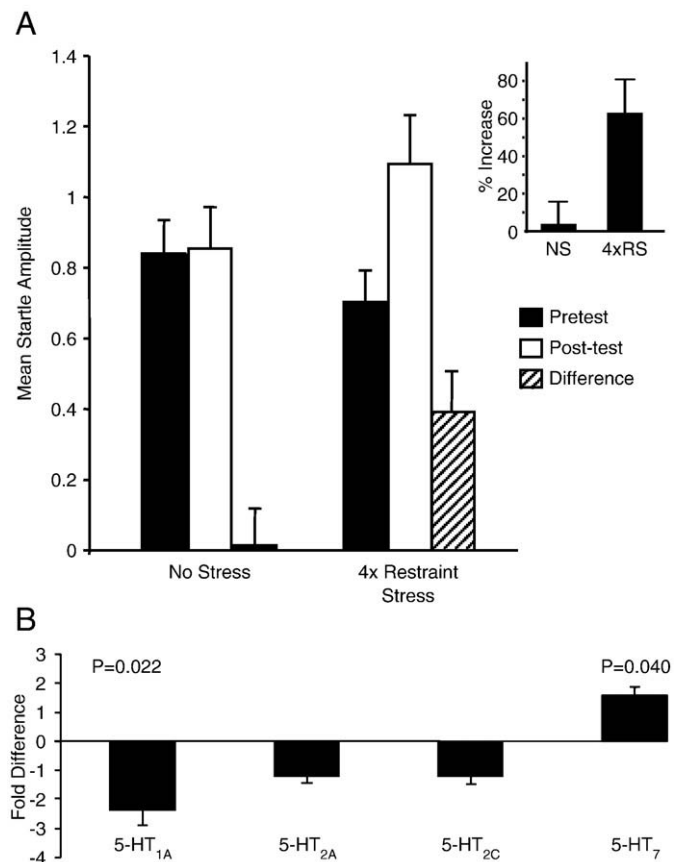
**Fig. 6.** Responses of anterolateral BNST neurons to 50 μM 5-HT in single- or group-housed rats. One week of isolation housing is a mild stressor in rats, and can increase anxiety-like behavior. Rats were either single- or group-housed for 1 week prior to sacrifice and the preparation of BNST slices. Patch clamp recordings were performed and 5-HT responses of BNST neurons were examined. A) The percentages of BNST 5-HT responses in group-housed rats ( $n = 21$ ). B) The percentages of BNST 5-HT responses in single-housed rats ( $n = 16$ ). Consistent with an enhancement of BNST CRF tone, One week of isolation housing shifted the 5-HT response profile to favor inhibition, reducing the percentage of neurons that were excited by 5-HT ( $\chi^2 = 9.207, p < 0.05$ ).

acute CRF and one week of isolation housing may shift the BNST 5-HT response to favor inhibition, chronic and/or severe stressors, which are often associated with pathological anxiety states, may shift the profile to favor excitation and an anxiogenic response to increased BNST 5-HT release. Hence, the down-regulation of 5-HT<sub>1A</sub> receptors (or up-regulation of 5-HT<sub>2A/2C</sub> and/or 5-HT<sub>7</sub> receptors) would be expected to favor an excitatory anxiogenic BNST response to 5-HT release. Conversely, the up-regulation of 5-HT<sub>1A</sub> receptors (or down-regulation of 5-HT<sub>2A</sub> and/or 5-HT<sub>7</sub> receptors) would be expected to favor an inhibitory anxiolytic BNST response to 5-HT release. Because stress has also been shown to activate central 5-HT systems, such as those projecting from the caudal DRN to the BNST (Grahn et al., 1999), an alteration of the BNST 5-HT response to favor anxiety could represent an important mechanism underlying pathological anxiety states.

Stress has been shown to modulate 5-HT receptors in a manner that would be consistent with an anxiogenic response. An increase in cortical 5-HT<sub>2</sub> receptor function is observed after chronic variable stress (Ferretti et al., 1995; Ossowska et al., 2001), social stress (McKittrick et al., 1995), and swim stress (Takao et al., 1997), and similar stressors have been shown to decrease 5-HT<sub>1A</sub> function in the hippocampus (Lopez et al., 1998, 1999; Takao et al., 1997). 5-HT<sub>1A</sub> receptors are also down-regulated in the DRN following uncontrollable shock (Short, 2000) and a repeated stress paradigm (Lanfumeij et al., 1999). Consistent with these data, the chronic administration of the stress hormone corticosterone (Fernandes et al., 1997; Kuroda et al., 1992; Takao et al., 1997) or the synthetic glucocorticoid dexamethasone (Katagiri et al., 2001; Lanfumeij et al., 1999) has been shown to increase the density and function of cortical 5-HT<sub>2A</sub> receptors, while at the same time decreasing the density of 5-HT<sub>1A</sub> receptors in frontal cortex (Crayton et al., 1996) and hippocampus (Fernandes et al., 1997; Maines et al., 1999; Takao et al., 1997). Together, these data suggest that chronic stress could shift the balance of BNST 5-HT responses to favor excitation, so that the stress-induced release of 5-HT would produce an anxiogenic response. Significantly, this type of 5-HT receptor modulation was only observed after uncontrollable and/or chronic stressors.

In order to determine whether chronic stress modulates the relative expression of BNST 5-HT receptor subtypes, we subjected rats to a 4-day restraint stress paradigm, measured anxiety-like behavior, and sacrificed and processed brain tissue for the analysis of BNST 5-HT receptor subtype mRNA expression using quantitative RT-PCR. On day 1, baseline startle responding was measured and did not differ between experimental groups ( $t(14) = 1.068$ ;  $p = 0.304$ ). After baseline measurements, half of the rats received 4 days of stress such that on each day they were restrained in custom-made wire mesh restrainers that kept them almost completely immobilized for 1 h. Control rats were not handled during the four day period. On day 5 for control animals and day 10 for stressed animals, rats were administered a second baseline startle test. Baseline startle responding was significantly increased in rats that received chronic stress (see Fig. 7A). A 2-way mixed measures ANOVA revealed a significant group  $\times$  test interaction ( $F(1, 14) = 6.019$ ;  $p = .028$ ). When the data are expressed as difference scores (post-test minus pretest), the group difference was reliable ( $t(14) = -2.453$ ;  $p = .028$ ). Likewise, when the data are expressed as percent increase from pretest to posttest (shown in the insert in Fig. 7A), the group difference was reliable ( $t(14) = -2.685$ ;  $p = .018$ ). Hence, chronic stress significantly increased anxiety-like behavior measured 6 days after the termination of the last stress session.

In order to determine whether chronic stress altered the relative expression of BNST 5-HT receptor subtypes, following the post-test, rats were sacrificed and tissue containing the anterolateral BNST was processed for quantitative RT-PCR. Here, 500  $\mu$ m coronal sections containing the BNST were prepared using standard methods (see Hammack et al., 2007). The BNST from each section was then manually isolated using a binocular dissecting microscope and immediately placed in Trizol to extract the total RNA. Total RNA was isolated and reverse-transcribed to cDNA using Multiscribe reverse-transcriptase. The cDNA was then amplified by quantitative RT-PCR using specific primers for 5-



**Fig. 7.** Chronic restraint stress enhances baseline startle and modulates the relative expression of BNST 5-HT receptor subtypes. A) On day 1 (pretest) all rats were administered a baseline startle test, and subsequently assigned to a stress or no-stress experimental group such that pretest baseline startle responding did not differ ( $t(14) = 1.068$ ;  $p = 0.304$ ). Stressed rats then received 4 daily sessions of restraint in custom-made wire mesh restrainers that kept them almost completely immobilized for 1 h; no-stress rats were not handled during the 4 day period. On the 5th day for the control group and the 10th day for the stressed group, rats received a second startle test (post-test), and prior chronic stress treatment significantly enhanced startle amplitude, indicative of increased anxiety (inset: % change from pretest to posttest was also significant). B) Immediately after the second startle test, rats were sacrificed and tissue containing the anterolateral BNST was processed for quantitative RT-PCR. Chronic stress reduced BNST 5-HT<sub>1A</sub> receptor expression, and increased 5-HT<sub>7</sub> expression.

HT<sub>1A</sub>, 5-HT<sub>2A</sub>, 5-HT<sub>2C</sub>, 5-HT<sub>7</sub> receptors as well as 18S rRNA to act as a control. Each sample was run in triplicate and fold differences of the target gene mRNA expression were calculated relative to the mRNA expression levels of the 18S rRNA in each sample. As shown in Fig. 7B, repeated restraint stress caused a significant reduction in 5-HT<sub>1A</sub> receptor mRNA expression ( $p = 0.022$ ;  $n = 8$ ). In contrast, 5-HT<sub>7</sub> receptor mRNA transcript expression was significantly increased ( $p = 0.04$ ;  $n = 8$ ). No significant difference was observed in the mRNA expression for either 5-HT<sub>2A</sub>, or 5-HT<sub>2C</sub> receptors. These data are consistent with our hypothesis that chronic stress may disrupt the normal adaptive response to stress in such a way as to reduce 5-HT-mediated feedback inhibition (reduced 5-HT<sub>1A</sub> mRNA) in the anterolateral BNST and favor excitation (increased 5-HT<sub>7</sub> mRNA expression).

## 8. 5-HT and the BNST: a functional hypothesis

We have shown that the BNST exhibits a complex response pattern to 5-HT that is mediated by multiple 5-HT receptor subtypes. Our data suggest that BNST neurons are capable of responding to 5-HT with both inhibition and excitation, and we propose that this complexity may help explain some of the data describing both anxiolytic and anxiogenic roles for central 5-HT activation. We found that 49% of

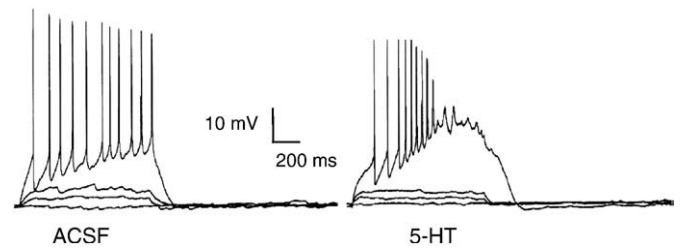


BNST neurons respond to exogenous 5-HT with a mixed inhibitory/excitatory response; however, the magnitude of the inhibitory response was almost always greater than the excitatory response, so that the net response of BNST neurons to 5-HT was predominantly inhibitory. Hence, the majority of BNST neurons were more hyperpolarized in the presence of exogenous 5-HT, suggesting that the release of 5-HT within the BNST may act to dampen BNST activity and anxiety-like behavior in a non-pathological state. Moreover, 5-HT has a slightly higher affinity for 5-HT<sub>1A</sub> receptors when compared to the other receptor subtypes expressed in the BNST (see Uphouse, 1997; Zifa and Fillion, 1992), so that low levels of BNST 5-HT would also favor BNST inhibition. As noted above, the BNST receives 5-HT input from the caudal region of the DRN, and the caudal region is also activated by stressful anxiogenic stimuli. In response to anxiogenic stimuli, BNST 5-HT release would serve to prevent prolonged activation of the stress response circuit and thereby reduce the behavioral impact of these stimuli. Moreover, anxiogenic stimuli would also be expected to activate BNST CRF systems, and as shown above, the release of CRF within the BNST would enhance the inhibitory effects of 5-HT, consistent with a regulatory role for BNST 5-HT in the presence of stressful anxiogenic stimuli.

Notably, high doses of CRF and CRF-analogues have been shown to activate 5-HT neurons in the caudal DRN (Amat et al., 2004; Lowry et al., 2000), and the blockade of DRN CRF receptors attenuates the behavioral consequences of stressor exposure (Hammack et al., 2002, 2003b). The source of DRN CRF is currently unknown; however, multiple studies have shown that DRN 5-HT neurons respond to CRF (Kirby et al., 2000; Lowry et al., 2000; Price et al., 1998; Price and Lucki, 2001), and have suggested that the source of raphe CRF may come from CRF-rich regions like the BNST (see Maier and Watkins, 2005 for review). Moreover, some DRN-projecting neurons originate from the CRF-rich oval nucleus of the BNST (S.E. Hammack, unpublished observations). Hence, CRF neurons within the BNST itself may activate caudal DRN 5-HT neurons in response to stressful anxiogenic stimuli, and the subsequent release of 5-HT within the BNST may represent a long forebrain-midbrain negative feedback loop designed to dampen maladaptive anxiety-like activity following exposure to stressful anxiogenic stimuli (see Fig. 1A). However, as suggested above, because the BNST also has the capacity to respond to 5-HT with excitation, chronic and/or severe stress states may modulate this circuitry such that feedback inhibition is either attenuated, or in dramatic cases reversed to positive feedback. This type of modulation would be expected to produce a state of pathological anxiety (Fig. 1B).

## 9. Other considerations

The inhibitory and excitatory actions of 5-HT on BNST neurons described above were based on changes in membrane potential; however, we have shown that several intrinsic membrane currents are expressed by BNST neurons at rest, and that modulation of these currents can substantially alter the excitability and firing properties of BNST neurons (Hammack et al., 2007). Furthermore, we have characterized 3 distinct physiological cell types within the anterolateral BNST, based on the relative expression of these membrane currents. Type I neurons were characterized by a regular firing pattern in response to depolarization and a depolarizing sag in response to hyperpolarizing current injection that was mediated by the hyperpolarization-activated cation current,  $I_h$ . Type II neurons were also characterized by the expression of  $I_h$ , but also exhibited a burst-firing pattern that was mediated by activation of the low-threshold calcium current,  $I_T$ . Type III neurons did not exhibit characteristics of  $I_h$  or  $I_T$  expression, but instead exhibited a pronounced fast rectification indicative of the inwardly rectifying potassium current,  $I_{K(IR)}$ . Most BNST neurons were also found to express the voltage-dependent potassium current  $I_A$ , as well as the persistent sodium current  $I_{NaP}$ . Moreover, broadly speaking the 5-HT receptor subtypes tended to group according to physiological cell type. Hence, Type I neurons of the anterolateral BNST show a tendency to co-



**Fig. 8.** An example showing that 5-HT changes the firing pattern of anterolateral BNST neurons without affecting membrane potential. Although this neuron did not respond to 5-HT (50  $\mu$ M) with a change in membrane potential (data not shown), the relative regular action potential firing induced by depolarizing current injection was dramatically altered to a higher frequency, burst firing pattern in the presence of 5-HT. The anterolateral BNST expresses many intrinsic membrane ion channels that could be modulated by 5-HT, and a reduction in A-type potassium channel function and/or an enhancement of T-type calcium channel function by 5-HT could enhance the excitability of BNST neurons upon depolarization to produce the phenotype observed here.

express 5-HT<sub>1A</sub> and 5-HT<sub>2A</sub> receptors, Type II neurons co-express 5-HT<sub>1A</sub> and 5-HT<sub>7</sub> receptors, and Type III neurons co-express 5-HT<sub>2A</sub> and 5-HT<sub>2C</sub> receptors, suggesting that local 5HT release would have distinct actions on neurons of the anterolateral BNST. Moreover, we found that modulating these currents in BNST neurons could dramatically alter their excitability and firing properties. For example, we showed that the activation characteristics of  $I_A$  in BNST neurons were similar to the activation characteristics of  $I_T$ , and we suggested that these two currents act in concert to regulate burst-firing activity in BNST type II neurons. Consistent with this hypothesis, blockade of  $I_A$  with 4-aminopyridine (4-AP, 1–10 mM) dramatically enhanced burst firing in type II neurons, whereas blockade of  $I_T$  with 500  $\mu$ M nickel chloride blocked burst firing in these same neurons. Importantly, 5-HT has been shown to modulate  $I_A$  (Farley and Auerbach, 1986) and  $I_T$  (Fraser and MacVicar, 1991) in other brain regions, and such an action in the BNST could dramatically alter the firing properties of BNST neurons even if membrane potential is not affected. Fig. 8 represents a BNST neuron that did not respond to 5-HT with a change in membrane potential or input resistance; however, in response to depolarizing current injection, the firing properties of this neuron were dramatically altered in the presence of 5-HT to reflect a higher frequency, bursting pattern. Moreover, this effect of 5-HT was blocked by the 5-HT antagonist pirenperone. High-frequency burst-firing is thought to promote the release of peptide neurotransmitters from hippocampal GABAergic neurons (Baraban and Tallent, 2004) and BNST GABAergic neurons have been shown to coexpress several neuropeptides, including vasoactive intestinal polypeptide, cholecystokinin, substance P, neurotensin, CRF, and methionine-enkephalin (Woodhams et al., 1983); hence, by modulating burst firing activity in BNST neurons, 5-HT could function to promote neuropeptide release from BNST GABAergic neurons.

5-HT has also been shown to modulate  $I_h$  (Cardenas et al., 1999) and  $I_{NaP}$  (Carr et al., 2002); hence, 5-HT could dramatically alter the excitability of BNST neurons independent of changes in membrane potential by modulating any of these intrinsic membrane currents. It remains to be determined how the modulation of these intrinsic currents might alter anxiety-like behavior.

## 10. Conclusions

We have summarized anatomical, physiological, and behavioral data that suggest that 1) changes in anxiety-like behavior following manipulations of central 5-HT activity may be mediated by the BNST, 2) the response of the BNST to 5-HT is complex, such that BNST neurons can be inhibited by 5-HT<sub>1A</sub> receptor activation and excited by 5-HT<sub>2A</sub>, 5-HT<sub>2C</sub>, and/or 5-HT<sub>7</sub> receptor activation, 3) most neurons in the BNST respond to 5-HT with concurrent inhibition and excitation, mediated by multiple 5-HT receptor subtypes, 4) BNST 5-HT<sub>1A</sub> activation is anxiolytic, and it is likely that the activation of BNST 5-HT<sub>2A</sub>, 5-HT<sub>2C</sub> and/or 5-HT<sub>7</sub>

receptors is anxiogenic, 5) pretreatment with the stress hormone, CRF or isolation stress can alter the 5-HT response profile in the BNST to favor pure inhibition, and 6) chronic restraint stress increases anxiety-like behavior and modulates the relative expression of BNST 5-HT receptor subtypes to favor excitation. Based on these data, we argue that 5-HT projections from the caudal DRN form a negative feedback loop with the anterolateral BNST in the face of a stressful anxiogenic stimulus, such that an anxiogenic stimulus would activate CRF neurons in the anterolateral BNST that project to and activate DRN 5-HT neurons. These activated caudal DRN neurons, in turn, release 5-HT within the anterolateral BNST, where 5-HT would have a predominantly inhibitory action due to recent BNST CRF receptor activation. We further argue that when exposure to stressful anxiogenic stimuli becomes chronic and/or extreme, the BNST response to 5-HT might shift to favor excitation, attenuating the negative-feedback function of 5-HT and potentially creating a positive-feedback loop from the same system. This response to chronic or extreme stress would be expected to produce a persistent anxiety-like state. In this way, interactions between the 5-HT neurons in the caudal DRN and neurons in the BNST may represent an important site of action for pharmacological therapies in the treatment of anxiety disorders in humans.

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